

INVESTMENT ACCOUNT ACTIVITY INFORMATION FOR CORPORATE ACCOUNTS



Account Holders are required to submit the following information prior to the receipt of wire transfers to avoid any delays in the application of funds:-

- information pertaining to the source of funds for all incoming transactions greater than or equal to **US\$10,000.00** (or equivalent);
- Completed and signed Source of Funds Declaration forms and supporting documents for all incoming transactions greater than or equal to **US\$100,000.00** (or equivalent).

The Bank reserves the right to request additional information prior to processing account transactions.

PLEASE ENSURE THAT ALL SECTIONS ARE COMPLETED

SECTION I

Account Name: _____

Purpose of Account: (e.g. business operations, corporate savings, corporate investments, holding company, etc.)

Nature of Business of the Account: (e.g. consultancy [industry specifics required], marketing [industry specifics required], etc.)

Geographic Locations of Business Operation (countries where you market or promote your business):

Number of Employees: _____

REGULAR SOURCE OF FUNDS

List names and websites of regular remitter(s) of funds to the account:

List countries from where funds will be remitted regularly:

Source of Wealth: If wealth is derived from existing businesses (apart from the business of this application), please provide business names, physical & website addresses of each listed business generating wealth or a brief description of the economic activity of each business generating wealth.

- Inheritance Existing Business(es) Investments Other

Please specify:

Beneficial Owner #1—Resume Required

Name: _____

Public Position Held: _____

Type of Property Owned: _____
(e.g. apartment, real estate, company, stakes/shares, other property to be defined)

Total Annual Net Income: _____

Beneficial Owner #2—Resume Required

Name: _____

Public Position Held: _____

Type of Property Owned: _____
(e.g. apartment, real estate, company, stakes/shares, other property to be defined)

Total Annual Net Income: _____

**If Source of Wealth is derived from Privately Owned Business(es), please provide business names, physical & website addresses of each listed business generating wealth, or brief description of the economic activity of each business generating wealth.

SECTION II

INVESTMENT OBJECTIVES:

What is/are your investment objective(s)? Please read carefully and select as many options that best suit your needs:

- Income
- Capital appreciation only
- Capital preservation only
- Speculation only
- All of the above

Risk Tolerance

What level of risk can you assume?

- Low
- High
- Medium
- Very High

Investing Experience

- No Experience
- Experienced
- Amateur
- Professional/Expert

What financial instruments have you previously invested in?

- Equities
- Fixed Income
- Mutual Funds
- Hedge Funds
- Derivatives
- Foreign Exchange Trading
- Commodities
- Real Estate
- Other, please specify

Minimum Investment

What minimum level of investment are you comfortable with? Please select one:

- Less than \$50,000
- \$50,000—\$100,000
- \$100,000—\$500,000
- \$500,000—\$1,000,000
- \$1,000,000—\$5,000,000
- \$5,000,000—\$10,000,000
- \$10,000,000 and over

Investment Management Choices

Please select how the corporation would like its portfolio managed:

- Non-Discretionary: You will make all investment decisions regarding your portfolio and the Investment Manager will execute on your authorization.

Other, please specify _____

Expected deposits to Investment Account:

Monthly

Annual

- \$ 1,000 - \$ 20,000
- \$ 20,001 - \$ 100,000
- \$500,001 - \$1,000,000
- \$1,000,000 and over

- \$ 1,000 - \$ 20,000
- \$ 20,000 - \$ 100,000
- \$500,001 - \$ 1,000,000
- \$1,000,000 and over

Expected withdrawals from Investment Account:

Monthly

Annual

- \$ 1,000 - \$ 20,000
- \$ 20,001 - \$ 100,000
- \$101,000 - \$ 250,000
- \$250,001 - \$ 500,000
- \$500,001 - \$1,000,000
- \$1,000,000 and over

- \$ 1,000 - \$ 20,000
- \$ 20,000 - \$ 100,000
- \$101,000 - \$ 250,000
- \$250,001 - \$ 500,000
- \$500,001 - \$ 1,000,000
- \$1,000,000 and over

Individual Transaction Value:

Incoming

Outgoing

- \$ 1,000 - \$ 20,000
- \$ 20,001 - \$ 100,000
- \$101,000 - \$ 250,000
- \$250,001 - \$ 500,000
- \$500,001 - \$1,000,000
- \$1,000,000 and over

- \$ 1,000 - \$ 20,000
- \$ 20,000 - \$ 100,000
- \$101,000 - \$ 250,000
- \$250,001 - \$ 500,000
- \$500,001 - \$ 1,000,000
- \$1,000,000 and over

Monthly Transaction Activity (including deposits and withdrawals):

- 1-5 transactions
- 6-15 transactions
- 16-30 transactions
- 31-50 transactions
- 51-75 transactions
- 76-100 transactions
- Over 100 transactions

Means by which investment account withdrawals will be made:

- Bank Wire Transfer
- Transfer to other account(s) held with Bank. Please specify related account name(s) and purpose of the transfers.

Means by which investment account will be funded:

- Bank Wire Transfer
- Transfer from other account(s) held with Bank. Please specify related account name(s) and purpose of the transfers.

Referral source: How did you find out about Global Bank of Commerce Limited?

- | | |
|--|---|
| <input type="checkbox"/> Advertisement | <input type="checkbox"/> GBC Bank Officer |
| <input type="checkbox"/> Agent | <input type="checkbox"/> Magazines |
| <input type="checkbox"/> Existing Customer | <input type="checkbox"/> Website |
| <input type="checkbox"/> Media | <input type="checkbox"/> Other |

Details: _____

Authorised Signature **Date**

Authorised Signature **Date**

Authorised Signature **Date**

For Bank Use Only:

Comments, if any: _____

Data Entered by: _____ Date _____
(Officer's Signature)

Checked by: _____ Date _____
(Officer's Signature)

Scanned by: _____ Date _____
(Officer's Initials)